# **Learning from Easy to Complex:**



# **Adaptive Multi-curricula Learning for Neural Dialogue Generation**

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## Motivation

### **Background:**

- Current state-of-the-art neural dialogue systems are mainly data-driven and are trained on human-generated responses.
- Due to the subjectivity and open-ended nature of human conversations, the complexity of training dialogues varies greatly.
- The noise and uneven complexity of query-response pairs impede the learning efficiency and effects of the neural dialogue generation models.

## Data Analysis: Curriculum Plausibility (Q1)

### What defines the dialogue complexity?

Complexity quantification using conversational attributes: Specificity, Repetitiveness, Query-relatedness, Continuity, Model Confidence.

Low	Do you have any pets?	High	<i>I win competitions.</i>	Easy
Specificity	I do not. Do you?	Ouerv-relatedness	<i>What kind of competitions do you win?</i>	
High	What kind of books do you read?	Low	I love art projects, including photography.	Complex
Specificity	Tom clancy n some james patterson	Query-relatedness	Definitely. I am so tired though.	
P 1.0- 0.8-	ersonaChat Dail	2Dialog	OpenSubtitles Dataset: PersonaChat [Zhang DailyDialog [Li et al. 2017], [Lison and Tiedemann 2016].	et al. 2018a], OpenSubtitles

#### **Research Questions:**

- 1. Conversation complexity embodies multiple aspects of attributes. How to quantify the dialogue complexity?
- 2. Babies learn to converse in an easy-to-complex manner and dynamically adjust their learning focus. How to enable the dialogue model imitating such learning behaviors?



Figure 1: Violin plot with whiskers regarding five conversation attributes in three datasets.

- >Outliers frequently appear among all the distributions, which exhibits the uneven dialogue complexity.
- These attributes show little correlations with each other (Kendall correlations among these conversational attributes are near 0).

## Single Curriculum Dialogue Learning (Q2)



Complexity is one of [Specificity, Repetitiveness, Query-relatedness, Continuity, Model Confidence].

- $\succ$  The curriculum is arranged by sorting dialogue training set according to the corresponding attribute.
- ▶ Progressing function:  $f(t) \triangleq min(1, \sqrt{t\frac{1-c_0^2}{\tau} + c_0^2})$
- $\succ$  At training time step t, a batch of training examples is sampled from the top f(t) portions of the total sorted training samples.
  - **T** is the duration of curriculum learning and  $c_0$  is set to 0.01.
- $\succ$  At the early stage, the model learns from samples drawing from the front part of the curriculum.
- $\succ$  As the advance of the curriculum, the difficulty gradually increases, as more complex training examples appear.

### Experiments

### **Metrics:**

- > Dist-n measures the ratio of unique n-grams to the total number of n-grams in a set of responses [Li et al., 2016];
- > Intra-n measures the ratio of unique n-grams within each response [Gu et al. 2019];
- > Embedding Avg, Ext, Gre metrics measuring the similarity between response and target word embeddings [Liu et al., 2016];
- > Coh: Similarity between input and response word embeddings [Xu et al., 2018];
- Ent-n: n-gram entropy of responses [Serban et al., 2017].

#### **Experimental Models:**

(1) **SEQ2SEQ**: a sequence-to-sequence model with attention mechanisms (Bahdanau, Cho, and Bengio 2015), (2) CVAE: a conditional variational auto-encoder model with KL-annealing and a BOW loss (Zhao, Zhao, and Esk'enazi 2017), (3) Transformer: an encoder-decoder architecture relying solely on attention mechanisms (Vaswani et al. 2017), (4) HRED: a generalized sequence-to-sequence model with the hierarchical RNN encoder (Serban et al. 2016), (5) DialogWAE: a conditional Wasserstein autoencoder, which models the distribution of data by training a GAN within the latent variable space (Gu et al. 2019).

After training T batches, each batch of training instances is drawn from the whole training set, which

is same as the conventional training procedure without a curriculum.

## Adaptive Multi-curricula Learning (Q2)



- Dialogue complexity consists of multiperspectives of attributes.
- Humans usually adjust their learning focus of multiple curricula dynamically in order to acquire a good mark.
- We further introduce an adaptive multi-curricula learning framework, to automatically choose different curricula at different learning stages according to the learning status of the neural dialogue generation model.
- We provide the model with five different curricula, where each curriculum is prepared by ordering training set w.r.t. corresponding attribute metric accordingly.
- Scheduling mechanism acts as the policy  $\pi$ . **State:** the learning status of the dialogue model, including passed mini-batch number, the average historical training loss, etc.

															Training with our method
	Models	BLEU	Dist-1	Dist-2	Dist-3	Intra-1	Intra-2	Intra-3	Avg	Ext	Gre	Coh	Ent-1	Ent-2	- Loss
		0.216	0.2067	2 100	5.026	77.04	87.00	00.72	50.05	47.00	65.01	62.97	6 674	10.269	-
	SEQ2SEQ	0.310	0.3907	2.190	5.020 9.242	//.24 82 74	87.00	90.75	58.85 62.20	47.22	67.07	02.87 66 <b>97</b>	0.074 6 <b>975</b>	10.308	170
	$\frac{SEQ2SEQ( \blacktriangle)}{CVAE}$	0.352	0.5400	3.557	7 7 15	85 30	94.20	95.47	61.00	47.57	66.68	65.27	6 000	10.725	- 150
	CVAE	0.290	0.5550	3.220 <b>A 572</b>	11 326	80.30 80 30	94.09	90.75 08 28	63 08	47.12	67 00	66 <b>81</b>	6.900 6.973	10.758 10.866	150 8e-3
	Transformer	0.321	0.0550	3 264	6 262	83.11	03.82	96.20	50.53	47.57	65 57	62.48	7 160	11 232	- 130 - 6e-3 - 6e-3
(a)	Transformer (A)	0.195	0.7007	<i>J</i> .204 <i>A</i> <b>201</b>	8.8202	80.11 80 30	95.82	<b>90.4</b> 8	62.33	46 24	66 <b>5</b> 4	65 <b>35</b>	7.109	11.232	4e-3
	HRED	0.323	0.8100	4 217	8 0/18	84.25	97.92	07 10	60.63	45.01	66 33	63 53	7.103	11.551	- 110
	$\frac{111111}{11111}$	0.272	0.8109	5 332	12 281	04.25 <b>91 45</b>	95.20 97 89	98.93	62.25	46 53	66 53	65.33 65.22	7.062	11.149	
	DialogWAE	0.124	0.9594	5 153	11 483	94 35	98.04	98 54	58 98	43 53	63.66	60.93	7 424	11.696	- 90
	DialogWAE (▲)	0.124	1.1388	6.890	15.842	96.65	99.41	<b>99.68</b>	<b>63.81</b>	<b>45.90</b>	<b>65.63</b>	<b>65.63</b>	7.462	11.845	Iterations
(b) T H H H	SEQ2SEQ	0.399	1.542	9.701	22.005	91.10	96.97	98.15	67.50	47.41	68.45	68.39	6.933	10.921	= Distinct
	SEQ2SEQ $(\blacktriangle)$	0.617	1.846	11.665	25.918	93.28	<b>98.16</b>	99.00	67.75	47.57	<b>68.91</b>	68.94	<b>7.041</b>	11.164	
	CVAE	0.406	1.615	11.187	26.588	90.56	97.48	98.70	67.76	46.82	68.90	67.77	7.124	11.308	0.024
	CVAE (▲)	0.691	1.890	13.125	30.793	94.48	<b>98.88</b>	<b>99.47</b>	<b>67.8</b> 1	47.36	69.00	68.00	7.139	11.453	0.02
	Transformer	0.412	2.617	13.212	25.175	90.50	96.53	97.92	65.82	46.01	67.86	66.03	7.192	11.309	
	<b>Transformer</b> (▲)	0.8063	2.917	15.509	30.954	94.38	98.59	<b>99.26</b>	66.52	<b>46.79</b>	68.40	66.65	7.307	11.651	
	HRED	0.1746	2.323	11.563	22.471	94.01	98.45	99.30	65.09	45.91	67.49	65.09	7.141	11.331	0.012
	HRED ( $\blacktriangle$ )	0.3834	2.448	12.880	26.355	<b>94.18</b>	<b>98.65</b>	<b>99.36</b>	65.37	46.43	<b>68.14</b>	65.22	7.058	11.341	8e-3
	DialogWAE	0.0303	2.244	12.340	26.109	92.98	98.02	98.78	64.19	42.03	65.52	64.31	7.420	11.954	4e-3
	DialogWAE (▲)	0.0814	2.654	16.311	36.591	92.79	98.73	99.53	65.27	43.41	66.60	65.62	7.539	12.106	
(c) (c)	SEQ2SEQ	0.140	0.3053	2.472	6.377	95.94	97.37	98.34	54.71	49.03	62.87	59.09	6.226	9.516	
	SEQ2SEQ $(\blacktriangle)$	0.172	0.4870	4.514	12.319	96.67	<b>98.16</b>	<b>98.76</b>	<b>55.87</b>	49.13	<b>63.78</b>	62.65	6.353	10.236	Iterations
	CVAE	0.0522	0.3028	2.614	7.574	95.12	97.32	98.19	56.17	47.70	63.10	58.85	6.156	9.460	-
	CVAE (▲)	0.0429	0.4061	3.928	12.676	96.11	<b>98.09</b>	<b>98.99</b>	57.06	<b>47.85</b>	63.44	60.82	6.463	10.442	Embedding
	Transformer	0.072	0.3883	1.737	3.503	95.38	97.13	98.18	55.10	48.16	62.69	57.45	6.661	10.362	
	<b>Transformer</b> (▲)	0.050	0.5655	3.079	7.005	97.15	<b>98.39</b>	<b>99.11</b>	55.63	<b>48.17</b>	63.16	59.19	6.666	10.715	0.47
	HRED	0.0498	0.3311	1.900	4.465	95.34	97.38	98.15	55.41	48.34	62.79	58.92	6.346	9.715	
	HRED ( $\blacktriangle$ )	0.0795	0.6982	4.224	9.933	97.43	<b>98.68</b>	<b>99.20</b>	55.89	48.64	63.53	59.55	6.510	10.409	0.46
	DialogWAE	0.0038	0.4808	3.870	11.856	86.91	93.88	97.93	51.59	43.40	56.23	51.96	5.633	8.559	
	DialogWAE ( $\blacktriangle$ )	0.0352	0.7360	6.549	18.881	94.92	97.10	<b>98.14</b>	54.73	47.84	63.52	<b>58.81</b>	6.7859	<b>11.187</b>	0.45

Table 1: Automatic evaluation results (%) on three datasets: (a) PersonaChat, (b) DailyDialog and (c) OpenSubtitles. "▲" denotes training with our proposed framework.



**Examples with tail learning frequencies** 

**Context:** Ma'am?



Vanilla training

adaptive multi-curricula learning.



iterations

Figure 2: Overview of the proposed adaptive multicurricula learning framework for neural dialogue generation. At training step t, the curriculum policy  $\blacksquare$  Action  $a_t \in \{0, 1, \dots, k-1\}$  chooses one of chooses one of the curricula to learn and the progressing function defines the learning progress on Maximizing:  $J(\theta) = \mathbb{E}_{\pi_{\theta}(a|s)}[R(s,a)].$ 

the selected curriculum.

**Reward** *R*: The ratio of two consecutive performance deviations on validation set. the curricula, k = 5.

